

July 10, 2021

Commissioner Christopher Nicolopoulos
NH Insurance Department
21 Fruit Street #14
Concord, NH 03110

Motion for: APPEAL
Docket No.: INS. No. 20-070-EP

Dear Commissioner Nicolopoulos,

I Richard A. Bean, Jr. am filing this motion on my own behalf.

The facts supporting this motion are:

To begin with, my file was incomplete without my added information, comments and requested documents, which I tried to provide to Hearing Officer Michelle Heaton. I was given until March 14th to provide this information. I contacted the department to relay the message that I was still having computer issues which prevented me from retrieving the letter and documents requested of me. I was informed I could not receive any further extension of time. I stated that it was unfair considering my major computer and server issues, far beyond my control, that I had been experiencing since March 3, 2021. I had been working diligently with Apple [computer] to repair and complete this problem I have been experiencing. This still remained an issue at that time of writing the letter back in March.

I had been working with Apple almost daily to fix my problem. I can provide details from Apple to the extent of my plight with my computer/server issues and the number of times and the duration I have contacted them, if necessary.

Through the help from senior technicians at Apple, they were finally able to help retrieve the documents that I've been trying to retrieve since March 3rd! This ultimately resulted with me purchasing a new computer, which I really didn't have funds for (we can blame Covid for this amongst many other things!) Although, this occurred after the time granted by the Department. I have since forwarded the documents to Michelle Heaton.

With that being said, I am humbly and respectfully asking for this information to be included as part of your decision.

With this motion, I am requesting the following relief:

I don't believe that any suspension or fine is deserved in my case. There is an apparent misunderstanding of reporting certain occurrences to the Department. Through this process, I have been made keenly aware of the reporting issues of such matter that was involved and I will make certain that I will adhere to this in the future. I must add, I am also a Certified Financial Planner who, as a fiduciary, remains in good standing throughout this investigation. Additionally, I have been licensed with the Department since June of 1990 and have never violated ANY rule or law as it relates to insurance, securities or industry that I have spent my entire career in. Furthermore, I don't believe this investigation has involved, harmed or violated any client or member of the public.

I respectfully request a direct meeting with you to see if this situation can be resolved, face-to-face. Ultimately, I am seeking a complete dismissal of my case. I will provide the above-mentioned documentation that was not allowed to be included with my file to you.

Thank you in advance for your help and consideration. I truly appreciate that.

Best Regards,

A handwritten signature in black ink, appearing to read "Rich Bean", with a long horizontal flourish extending to the right.

Rich Bean, CFP