

STATE OF NEW HAMPSHIRE, DEPARTMENT OF JUSTICE  
OFFICE OF THE ATTORNEY GENERAL  
CONSUMER PROTECTION AND ANTITRUST BUREAU

PRINCIPAL'S BACKGROUND STATEMENT

This form is to be completed by all principals  
(as defined by Jus 1301.06 and Jus 1401.07).

I. General Background

A. Name: \_\_\_\_\_  
                    First                                    Middle                                    Last

Alias, if any: \_\_\_\_\_  
                                    First                                    Middle                                    Last

B. Current Residence:

Residence(s) past five years:

C. Current Business Address:

Business Address(es) past five years:

D. Date of Birth:

E. Place of Birth: \_\_\_\_\_  
                                    City/Town                                    State                                    Country

II. Nature of Ownership Interest

A. Are you an officer, director, partner, trustee, etc., in the business organization developing this subdivision/condominium? (Please specify title or role.)

B. Percentage of ownership, if any: \_\_\_\_\_

C. Do you have a written agreement covering acquisition of additional interests?  
Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, provide copy of agreement or give full particulars on separate sheet.

D. What are your arrangements regarding compensation, salary, commissions, profit sharing, etc.?

E. What amount of time do you devote to this organization's business affairs?

F. Have you been a principal (with this business entity or any other business entity) in other subdivision or condominium developments in this state, whether or not subject to registration? Please list and provide the attorney general file number.

III. Employment Record

A. Present occupation or profession:

B. List previous employment for past five years, including the name and address of each employer:

IV. Education Record:

A. List names and addresses of schools and college(s) attended, including years attended, degrees earned:

B. State any specialized education in real estate, land development or condominiums:

V. Credit References

Names and addresses of five personal or business credit references:

1. Name:

Address:

2. Name:

Address:

3. Name:

Address:

4. Name:

Address:

5. Name:

Address:

VI. Character References:

Names and addresses of five character references. (Do not list relatives or associates in this enterprise.)

1. Name:

Length of time known \_\_\_\_\_

Address:

Frequency of association \_\_\_\_\_

2. Name:

Length of time known \_\_\_\_\_

Address:

Frequency of association \_\_\_\_\_

3. Name: Length of time known \_\_\_\_\_

Address: Frequency of association \_\_\_\_\_

4. Name: Length of time known \_\_\_\_\_

Address: Frequency of association \_\_\_\_\_

5. Name: Length of time known \_\_\_\_\_

Address: Frequency of association \_\_\_\_\_

VII. Financial References

Banking facilities used for personal and business accounts during the past five years:

1. Name: Your address at the time

Address:

2. Name: Your address at the time

Address:

3. Name: Your address at the time

Address:

4. Name: Your address at the time

Address:

5. Name: Your address at the time

Address:

VIII. Real Estate Licensure

A. Have you ever been licensed as a real estate broker or salesman in any state?  
Yes \_\_\_\_\_ No \_\_\_\_\_

B. If ever licensed as a real estate broker or salesman, list:

1. State(s) licensed: \_\_\_\_\_

Date(s) of licensure: \_\_\_\_\_

Current status: \_\_\_\_\_

2. Has your license as a real estate broker or salesman ever been revoked or suspended in any state? Yes \_\_\_\_ No \_\_\_\_\_. If yes, give full particulars on separate sheet.

3. Have you ever been the subject of investigation by any real estate licensing authority? Yes \_\_\_\_ No \_\_\_\_\_. If yes, give full particulars on separate sheet.

IX. Bankruptcy, Receivership, Foreclosure

A. Have you been an officer, director, or other principal in any business organization which has voluntarily or involuntarily declared bankruptcy or been subject to foreclosure or receivership during the past seven years? Yes \_\_\_\_\_ No \_\_\_\_\_. If yes, give full particulars on separate sheet.

B. Have you, as an individual, filed a petition in voluntary bankruptcy or been subject to foreclosure or receivership during the past seven years? Yes \_\_\_\_\_ No \_\_\_\_\_. If yes, give full particulars on separate sheet.

X. Criminal Convictions, Injunctions and Orders

A. During the past ten years, have you, as an individual or as principal in a business organization:

1. Been convicted of a violation, misdemeanor or felony, which has not been annulled by a court, involving condominium or land dispositions or any aspect of land sales in any state or foreign country? Yes No .

2. Been convicted of any other felony, which has not been annulled by a court, in any state or foreign country? Yes No \_\_\_\_\_. If yes to either, give full particulars on separate sheet.

B. During the past five years, have you, as an individual or principal in a business organization, been subject to an injunction or administrative order which restrained a false or misleading promotional plan involving land disposition, unfair or deceptive trade practices under 15 U.S.C. 45(a)(1) or equivalent state statutes, securities laws or the commodities futures industry? Yes No . If yes, give full particulars on separate sheet.

C. Are you currently a defendant in any pending litigation involving condominium or land dispositions or any felony in any state or foreign country?

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, give full particulars on separate sheet.

